









Corporate Risk Register – 31 January 2019

<u>Risk Reference</u>	<u>Risk Description</u>	<u>Risk Score</u>	<u>Residual Score</u>	<u>Status</u>	<u>Progress</u>
CR215	Outstanding backlog maintenance on the Service's buildings in excess of £3M.	16 L=4 I=4	9 L=3 I=3	The Authority has taken the decision to bring the existing headquarters facilities management function inhouse and the scope of the Estates Team has been widened to include all estate management. Capital projects at Stanhope and Darlington fire stations will reduce the level of backlog maintenance.	
ER218	Heightened terrorist threat in County Durham and Darlington increasing the risk to CDDFRS staff and communities. This also covers the organisation not being able to provide critical services to the community due to major disruption.	12 L=3 I=4	9 L=3 I=3	Score reflects the latest intelligence.	
ER224	Failure to respond effectively and provide services due to Industrial Action.	20 L=4 I=5	10 L=2 I=5	No industrial action pending. The residual score reflects the fact that the new National Framework requires all national resilience assets to be available 24/7 including during periods of industrial action.	

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FIN152	Failure to achieve the savings via the service transformation options outlined in the IRMP consultation leading to a greater budget deficit.	20 L=4 I=5	8 L=2 I=4	The revised MTFP deficit is now £1.5M. The Emergency Response Review has identified further savings and work is underway to develop options to bridge this gap. The residual score increased to reflect the fact that the government grant position beyond 2019/20 is currently unknown and there is increasing uncertainty around the assumptions underpinning the MTFP.	
FIN153	The actual position relating to pay awards, inflation and grant cuts etc, turns out to be different to the assumptions underpinning the MTFP leading to a greater budget deficit.	20 L=4 I=5	16 L=4 I=4	The Authority has agreed to accept a 4 year funding settlement which significantly enhances long term planning however recent developments around pay awards and employer pension contributions are a cause for concern. The revised MTFP includes provision for pay awards of 2% per annum and any pay awards in excess of this would increase the MTFP deficit. The FBU have submitted a claim for a 17% uplift in pay and each additional 1% increase in firefighter pay costs £170K.	

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FIN 225	Insufficient government funding to meet capital programme requirements which could impact on the revenue budget leading to an increased MTFP deficit.	12 L=3 I=4	9 L=3 I=3	The Authority no longer receives government funding for capital expenditure which was previously c £1.3M per annum. Provision will need to be made in the revenue budget from 2018/19 onwards to meet the impact of borrowing and/or direct funding.	
ASN01	Unknown resource requirement needed to manage the HMIC inspection programme. Currently the content of the inspection is unspecified.	16 L=4 I=4	9 L=3 I=3	A regional inspectorate working group has been established to monitor the impact of HMICFRS inspections. Liaison is ongoing with Durham Police to establish potential resource requirements. Residual score has been reduced to reflect the fact that preparations are progressing well within the level of resources allocated.	
ICT 208	Failure to meet the Emergency Services Mobile Communications Programme (ESMCP) local transition schedule.	25 L=5 I=5	6 L=2 I=3	Regional management structure in line with national government requirements in place and agreement on programme support has now been reached for the airwave network equipment beyond contracted dates. National emphasis is now for a locally managed incremental approach.	

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CR216	Failure to comply with the requirements of GDPR.	16 L=4 I=4	12 L=3 I=4	A GDPR action plan has been devised and section heads have been tasked to lead on actions for their respective teams. Security (uncluding data) breaches are reported and discussed at the Protective Security Group. The Service has a trained Data Prortection Officer to advise and oversee areas of GDPR.	NEW
FS4	There is the potential for high impact on resources as a result of the Independent Review of Building regulations and Fire Safety.	12 L=3 I=4	8 L=2 I=4	We are awaiting government decisions on the recommendations of the Hackitt Review in order to carry out a gap analysis. 32 Level 4 Building Fire Safety (BFS) Certificate courses are currently being undertaken by operational personnel. Firefighter apprentices are also undertaking a level 4 BFS qualification.	NEW